

DEC 21 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Kazuo Tanaka et al.

Serial No.: 09/964,863

Group Art Unit: 2812

Filing Date: September 28, 2001

Examiner: Unknown

For: TEMPERATURE CONTROLLING METHOD, THERMAL TREATING APPARATUS,
AND METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE

Assistant Commissioner of Patents
Washington, D.C. 20231

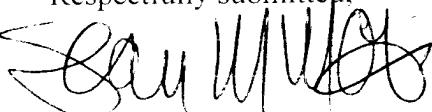
SUBMISSION OF DECLARATION

Sir:

In response to the Notice to File Missing Parts of Application dated October 26, 2001 (copy attached), submitted herewith is the signed declaration for the above-identified patent application, along with our check in the amount of \$960 to cover the \$710 application filing fee, excess claim fee of \$80 the \$130 surcharge for the late filing of the declaration, and \$40 for the assignment recordation fee.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,



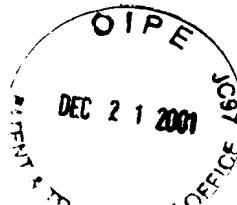
Sean M. McGinn

Registration No. 34,386

Date: 12/21/01

McGinn & Gibb, PLLC
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Docket N^o. KOKUSAI 076



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SUBMISSION OF ASSIGNMENT

Sir:

Kindly record and return to the undersigned the attached Assignment from Kazuo Tanaka et al. to Hitachi Kokusai Electric, Inc., for the above-identified patent application. The recording fee \$40.00 is included in the total fee for filing the Missing Parts for the above-identified application, a check for which accompanies this submission.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Sean M. McGinn".

Sean M. McGinn
Registration No. 34,386

Date: 12/21/01

McGinn & Gibb, PLLC
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	PRINCE RECEIVED DATE	FIRST NAMED APPLICANT	ATTORNEY/AGENT NUMBER
09/964,863	09/28/2001	Kazuo Tanaka	KOKUSAI 076



21254
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CONFIRMATION NO. 2372

FORMALITIES LETTER



OC000000006979225

Date Mailed: 10/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

Adjustment date: 00/00/00
 12/26/2001 SFELEKE1 000001
 02 FC:101
 04 FC:105

34.00 CR

-742.00

*A copy of this notice MUST be returned with the reply.*710.00
80.00
130.00

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

EXUBA/1

01 FC:101
 02 FC:102
 03 FC:105
 06 883